



Tax Preparation and Financial Planning Questions

Client Name: _____

Tax Preparer: _____

How would you like to receive your tax return? Email Copy Paper/Pick-up Paper/Mailed (\$15 fee)

	Yes	No
1) Were there any births, adoptions, marriages, divorces, or deaths in your immediate family during this year?	<input type="checkbox"/>	<input type="checkbox"/>
2) Are any of your unmarried children, who might be claimed as dependents, 19 years of age or older?	<input type="checkbox"/>	<input type="checkbox"/>
3) Did anyone in your household have any tuition expenses?	<input type="checkbox"/>	<input type="checkbox"/>
4) Did you have any childcare expenses?	<input type="checkbox"/>	<input type="checkbox"/>
5) Did you make a contributions to or take any distributions from a retirement plan (401K, IRA, SEP, SIMPLE, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>
6) Do you have health insurance?	<input type="checkbox"/>	<input type="checkbox"/>
7) Do you have health insurance through; Your employer <input type="checkbox"/> Covered CA <input type="checkbox"/> Other <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8) Did you make any residential energy-efficient improvements or purchases?	<input type="checkbox"/>	<input type="checkbox"/>
9) Did you purchase, sell or refinance a home?	<input type="checkbox"/>	<input type="checkbox"/>
10) Do you anticipate applying for a loan in the next 12 months?	<input type="checkbox"/>	<input type="checkbox"/>
11) Did you experience a short sale/foreclosure/loan modification on any properties in 2014?	<input type="checkbox"/>	<input type="checkbox"/>
12) Did you incur moving expenses during the year due to a change of employment?	<input type="checkbox"/>	<input type="checkbox"/>
13) Did you have any debts cancelled or forgiven?	<input type="checkbox"/>	<input type="checkbox"/>
14) Did you have any bank accounts or other assets outside of the United States?	<input type="checkbox"/>	<input type="checkbox"/>
15) Were you notified or audited by either the IRS or the State taxing agency?	<input type="checkbox"/>	<input type="checkbox"/>
16) Would you like a financial review with your advisor?	<input type="checkbox"/>	<input type="checkbox"/>

Securities through 1st Global Capital Corp., Member FINRA and SIPC. Bruce Rawdin-Baron, Steven W. Pollock, Sean Storck and Nicole Albrecht are Registered Representatives of 1st Global Capital Corp. Insurance services offered through 1st Global Insurance Services. Investment advisory services offered through Rawdin-Baron Financial, Inc. Rawdin-Baron Financial, Inc. and 1st Global Capital Corp. are unaffiliated entities. Rawdin-Baron Financial, Inc. is a Registered Investment Adviser. Registration does not imply a certain level of skill or training. We currently have individuals licensed to offer securities in the states of Arizona, California, Illinois, Indiana, Kansas, Massachusetts, Michigan, New York, Oregon and Washington. This is not an offer to sell securities in any other state or jurisdiction. CA Department of Insurance License: Bruce Rawdin-Baron #0736631, Steven W. Pollock #OE98073, Sean Storck #0F25995 and Nicole Albrecht #0F99962.